

NEIGHBORHOOD DEVELOPMENT FUND
Not-for-Profit Request

DATE: June 15, 2006

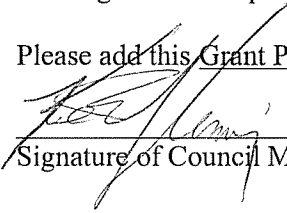
TO: Appropriations Committee

FROM: Kenneth C. Fleming, District 7 Councilman

RE: Request for Neighborhood Development Fund to be considered by the Appropriations Committee.

I have reviewed the attached Proposal in the amount of \$4,950 through the ^{metro Council} ~~Department of Finance~~ for the Urban Neighborhood Centers Alliance of Louisville, Inc. and have found it complete and within our guidelines. I have read the organization's statement of public purpose to be furthered by the funds requested and I agree that the public purpose is legitimate. I have also completed the disclosure section below.

Please add this Grant Proposal Agreement to the agenda of the next Appropriations Committee Meeting.



Signature of Council Member

Signature of Council Member

Signature of Council Member

Signature of Council Member

Signature of Council Member

Signature of Council Member

Signature of Council Member

Signature of Council Member

DISCLOSURE

List below any relation you have with the organization requesting the grant (your, your family, your legislative assistant or any city employee to this organization and to any member of the organization's board of directors or their employees.)

Approved by:

Appropriations Committee Chairman

Date

OFFICE OF METRO COUNCIL CLERK
RECEIVED
DATE 7/11/06 TIME: 1:18pm



SECTION ONE:
DESCRIPTION OF APPLICANT AGENCY/ORGANIZATION

IDENTIFYING INFORMATION

- I. **Official Name of Agency/Organization (Agency) as listed with the Kentucky Secretary of State:**
Urban Neighborhood Centers Alliance of Louisville, Inc.
- II. **Organization number as listed with the Kentucky Secretary of State:** 0308183
- III. **List any "working" or "does business as" names for organization:**
UNCAL
- IV. **Address of main office: (street and zip + 4) (No main office)**
Mailing Address: The Cabbage Patch Settlement House, Inc.
1413 South 6th Street, Louisville, KY 40208
- V. **P. O. / mailing address if different:** _____ **(zip + 4)** _____
- VI. **Phone # (502)** 753-4445 **Fax# (502)** 637-9943
- VII. **E-Mail** tholladay@cabbagepatch.org
- VIII. **Agency's Legal Signatory/Title**
Name J. Tracy Holladay
Title President & Treasurer
- IX. **Contact person responsible for application:**
A. **Name:** Tracy Holladay
B. **Phone # (502)** 753.4445 **Fax# (502)** 637-9943
C. **E-Mail** tholladay@cabbagepatch.org

DESCRIPTION OF AGENCY

- I. **Describe your Agency's vision, mission and services:**
UNCAL is a not-for-profit, membership alliance of individual neighborhood centers and settlement houses in the innercity of Louisville, Kentucky. UNCAL's membership is comprised of Cabbage Patch Settlement House, Louisville Central Community Centers, Neighborhood House, Presbyterian Community Center, and Wesley Communnity House which, together, have a combined history of over 415 years of service to Louisville Urban neighborhoods. The Mission of UNCAL is to provide new opportunities to maximize the capacity of member organizations' efforts to advance the development of youth and families in Louisville's urban neighborhoods.

- II. Total number of Board members 5
- III. Number of Board meetings held to date in current fiscal year 4
- IV. Average attendance at Board meetings 4

FACILITIES

- I. List location(s) and terms (owned, rented, leased, or donated).
- A. UNCAL is an alliance of individual neighborhood centers and
settlement houses in inner city Louisville. For those individual
 B. facility locations, see attachment.
- C. No buildings are owned by "UNCAL"
- D. _____
- II. Are all facilities handicapped accessible? Yes _____ No _____
- III. If no, please explain:

FINANCIAL INFORMATION

- I. Agency's fiscal year from (month) July 1 to (month) June 30
- II. Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year? No X Yes _____
- III. If yes, please explain.

- IV. For the **current fiscal year**, list funds received from Louisville Metro Government, including funds from any department, office, etc. in either the former City of Louisville or Jefferson County.

\$ -0- Source:

\$ _____ Source:

\$ _____ Source:

\$ _____ Source: _____

V. Provide one copy only of each of the following, as appropriate (4 points):

- A. Articles of Incorporation.
- B. Approved budget or executive summary for your Agency's current fiscal year.
- C. Proof of IRS 501(C) (3) status, or application for this IRS status, if applicable.
- D. Staffing structure for entire Agency, including organizational chart. N/A
- E. Board member list; specify chair, vice-chair, secretary, and treasurer.
- F. If your Agency is an employer required to have a written Affirmative Action/Equal Employment Opportunity policy: copy of policy.
- G. If rent/occupancy costs are being requested: copy of the signed lease. N/A
- H. If program participants have the opportunity to evaluate the services received: one copy each of any forms used. N/A

VI. List below any relationship any members of your Board of Directors or employees have with any Metro Council Member, Council Member's family, Council Member's staff, or any Louisville Metro Government employee.

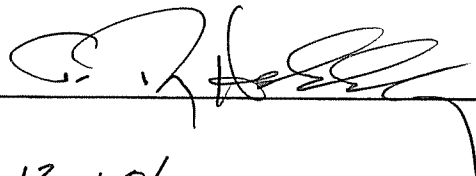
None known.

VII. I certify under the penalty of law that the information in this application is accurate to the best of my knowledge. I am aware that my Agency will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am authorized to sign this application for the Agency.

Name of Legal Signatory: (type or print) J. Tracy Holladay

Title: President, Urban Neighborhood Centers Alliance of Louisville (UNCAL)

Signature



Date 6/13/06

**LOUISVILLE METRO COUNCIL
APPLICATION FORM FOR
NEIGHBORHOOD DEVELOPMENT FUNDS
(2005-2006)**

Proposed Activity/Need: To enable funding to contract with Strategic Visions (Pat Hopper) to conduct an organizational review, grant research and grant writing
Name of Applicant Agency: Urban Neighborhood Centers Alliance of Louisville, Inc.

AMOUNT OF FUNDING REQUESTED \$4,950.00

I. Contact Person responsible for the Activity described in this proposal:

A. Name Tracy Holladay
B. Title Executive Director
C. Phone # (502) 634-0811 **Fax # (502)** 637-9943
D. E-mail tholladay@cabbagepatch.org

2. If funded, this activity will further which of the major goals of Louisville Metro listed below.

☐ Bringing Us Together

☐ Keeping Us Safe

☐ Promoting Education and Growing Jobs

☒ Enhancing Neighborhoods and Protecting Our "Louisville" Quality of Life

3. If funded, this activity will strengthen (check one):

- ☒ Youth (teenagers, ages 13-19)
☒ Human Services (Citizens with barriers to meeting basic human needs)
☐ Arts/cultural
☒ Neighborhoods
☐ Business Associations
☐ Parks
☐ Community Activities and Events
☐ **Other: if you do not believe your proposal fits any of the above, please describe the nature of your request:**

4. If approved, Louisville Metro Funds will be used for (check one)

- ☒ Operating Funds (cannot exceed 33% of agency's total budget)
☐ Programming/services/events for direct benefit to community or qualified individuals
☐ Capital equipment (small operating equipment which may be used to benefit the individuals or community being served. (No building or renovations)

5. PROPOSAL DESCRIPTION: Describe how you are going to further one of the four major goals of Louisville Metro Government by this proposal. (See #2)

The services provided by Strategic Visions will strengthen the coliation of Louisville's oldest and most effective community service organizations.

6. Describe the activity being proposed to address the goal.

See Attached "Letter of Agreement to Perform Consulting Services"

7. Describe how the funding is to be used. BE SPECIFIC.

Strategic Visions will conduct an organizational review, research grant opportunities for UNCAL and write grant applications to National foundations in order to secure funding for UNCAL. (See attached proposal).

This will fund the writing of five to ten grant requests to national foundations totalling \$250,000 to \$750,000.

8. Describe the results/goals for this proposal. How will you know it is successful?

This will be successful if even one of the grant proposals is successful, generating funding for the UNCAL.

EXPECTATIONS/REQUIREMENTS INCLUDE BUT ARE NOT LIMITED TO:

- a. Participate in post-award training.
- b. Make all program and financial records available to any monitors from Louisville Metro to assure compliance with the approved funding.
- c. Failure to provide the services, programs or projects included in the agreement will result in funds being withheld, or in requirement for reimbursing Louisville Metro.
- d. Return to Louisville Metro of any unexpended funds by July 31, 2006.
- e. Documentation of all expenditures (canceled checks, receipts, paid invoices)

COMPLETE PAGE 3 -BUDGET SUMMARY STATEMENT FOR THIS PROJECT.

STAFF ONLY:

_____ Description of Applicant Agency/Organization Complete

_____ All documentation is attached: 501(c)3 status, Articles of Incorporation, Secretary of State status, EIN (Employer Identification Number)

PROJECT/PROGRAM BUDGET SUMMARY STATEMENT

AGENCY NAME: UNCAL

Project/Program Name: Strategic Visions Consulting Services

This Project/Program Proposal is # 1 of 1

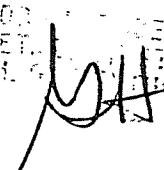


| REVENUES ANTICIPATED | 2005-2006 | % of Total Revenue |
|---|-------------------------------|--------------------------|
| | Round to the nearest \$100 | |
| Louisville Metro Government Requested of Metro Agency: Metro Council | \$ 4,950.00 | |
| State of Kentucky | | |
| Federal Government (Including Federal Pass-thru to State) | | |
| United Way | | |
| Fees for Services | | |
| Private Contributions | | |
| Interest Income | | |
| Other Sources (Please specify) | | |
| | | |
| | | |
| | | |
| TOTAL REVENUES | \$ | 100% |

| OPERATING EXPENSES | | |
|--|------------|-------------|
| Personnel (including all fringes) | | |
| Operating (Contractual and Supplies) | | |
| Capital Equipment (Small Operating Equipment) | | |
| Consulting/Grant Writing Services | \$4,950.00 | |
| TOTAL EXPENDITURES | \$ | 100% |

| | |
|--|----|
| Value of in-kind assets, such as donated space, supplies, use of equipment, etc. | \$ |
| Value of volunteer services and how computed: | \$ |

Attachment A
Articles of Incorporation

RECEIVED & FILED
\$ 8.00
DEC 4 12 50 PM '92C:Z:ZZ995:99931
092592:5:TMW
ArticlesDO NOT
SECRET
COMM. STATE
BY  KENTUCKYARTICLES OF INCORPORATION
OF
URBAN NEIGHBORHOOD CENTERS ALLIANCE
OF LOUISVILLE, INC.

The undersigned, desiring to form a non-stock, non-profit corporation under Kentucky Revised Statutes, Chapter 273, states as follows:

ARTICLE I

The name of the corporation shall be Urban Neighborhood Centers Alliance of Louisville, Inc. (the "Corporation").

ARTICLE II

The Corporation is formed exclusively for charitable and civic purposes, including:

1. The operation of a membership alliance of independent neighborhood centers and settlement houses in the inner city of Louisville, Kentucky that are committed to providing services for individuals and families which foster self-development and self-sufficiency. The activities of the Corporation may include:
 - a. Organizing and supporting joint venture fundraising and service activities for member organizations, all of whom shall be non-profit, neighborhood-based organizations providing family services within an urban neighborhood area and recognized by the Internal Revenue Service as Internal Revenue Code Section 501(c)(3) organizations;
 - b. Providing consultation, technical assistance and administrative services to member organizations;
 - c. Engaging in research, studies and programs for the benefit of member organizations and residents of urban neighborhoods;
 - d. Collaborating with other human service and social action organizations to promote the purposes of the Corporation; and

- e. Providing training and educational opportunities for staff and volunteers of member organizations, as well as for persons served by member organizations.
2. To do any other act or thing incidental to or connected with the foregoing purposes or in advancement thereof, but not for any pecuniary profit or financial gain of its directors or officers.

No part of the net earnings of the Corporation shall inure to the benefit of any officer or director of the Corporation, or to any private individual, except that the Corporation shall be authorized and empowered (1) to pay reasonable compensation for services rendered, and (2) to make payments and distributions in furtherance of its charitable and civic purposes. No officer or director of the Corporation, or any private individual, shall be entitled to share in the distribution of the Corporation's assets on dissolution of the Corporation. No substantial part of the activities of the Corporation shall be the carrying out of propaganda, or otherwise attempting, to influence legislation, and the Corporation shall not participate in or intervene in any political campaign on behalf of any candidate for public office.

The Corporation shall not engage in any act of self-dealing as defined in Section 4941(d) of the Internal Revenue Code of 1986, as amended (the "Internal Revenue Code") or any corresponding provisions of any subsequent federal tax laws.

Notwithstanding any other provision of these articles, the Corporation shall not conduct or carry on any activities not permitted to be conducted or carried on by an organization exempt under Section 501(c)(3) of the Internal Revenue Code and its regulations as they now exist or as they may hereafter be amended, or by an organization, contributions to which are deductible under Section 170(c)(2) of the Internal Revenue Code and regulations as they now exist or as they may hereafter be amended.

ARTICLE III

Upon the dissolution of the Corporation, the board of directors shall, after paying or making provision for the payment of all of the liabilities of the Corporation, dispose of all of the assets of the Corporation exclusively for the purposes of the Corporation in such manner, or to such organization or organizations organized and operated exclusively for charitable, educational, religious or scientific purposes as shall at the time qualify as an exempt organization or organizations under Section 501(c)(3) of the Internal Revenue Code as the board of directors

shall determine. Any such assets not so disposed of shall be disposed of by a court of competent jurisdiction of the county in which the principal office of the Corporation is then located, exclusively for such purposes or to such organization or organizations, as said court shall determine, which are organized and operated exclusively for such purposes.

ARTICLE IV

The principal place of business and offices of the Corporation is 1413 South Sixth Street, Louisville, Kentucky 40208.

ARTICLE V

The address of the initial registered office of the Corporation is 600 West Main Street, Louisville, Kentucky 40202, and the name of the initial registered agent at such address is T. Morgan Ward, Jr.

ARTICLE VI

The name and address of the sole incorporator is:

| <u>NAME</u> | <u>ADDRESS</u> |
|---------------------|--|
| T. Morgan Ward, Jr. | 600 West Main Street Louisville, Kentucky 40202 |

ARTICLE VII

The number of directors constituting the initial board of directors shall be three (3). The following persons shall serve as the initial directors of the Corporation until the first annual meeting or other meeting to elect or appoint directors:

| <u>NAME</u> | <u>ADDRESS</u> |
|-------------------|--|
| John Barrow | NEIGHBORHOOD HOUSE 225 North 25th Street Louisville, KY 40212 |
| J. Tracy Holladay | CABBAGE PATCH SETTLEMENT HOUSE, INC. 1413 South Sixth Street Louisville, KY 40208 |

Sam Watkins, Jr.

LOUISVILLE CENTRAL
COMMUNITY CENTERS, INC.
306 Roy Wilkins Avenue
Louisville, KY 40203

ARTICLE VIII

No director of the Corporation shall be personally liable to the Corporation or its members for monetary damages for any breach of his or her duties as a director, provided that this provision shall not eliminate or limit the liability of a director: (i) for any transaction in which the director's personal financial interest is in conflict with the financial interest of the Corporation or its members; (ii) for acts or omissions not in good faith or which involve intentional misconduct or are known to the director to be a violation of law; or (iii) for any transaction from which the director derived an improper personal benefit.

If the Kentucky Nonprofit Corporation Act is amended after the date of the filing of these Articles of Incorporation to authorize corporate action further eliminating or limiting the personal liability of directors, then the liability of a director of the Corporation shall be eliminated or limited to the fullest extent permitted by the Kentucky Nonprofit Corporation Act, as so amended, and without the necessity for further action by the members of the Corporation in respect thereof.

Any repeal or modification of this Article by the members of the Corporation shall not adversely affect any right or protection of a director of the Corporation hereunder in respect of any act or omission occurring prior to the time of such repeal or modification.

Dated: 12/3/92

T. Morgan Ward, Jr.
Incorporator

A154900

BOOK 444 PAGE 645

Document No: 1992154900
Lodged By: STITES & HARBISON
Recorded On: Dec 08, 1992 02:06:14 P.M.
Total Fees: \$7.00
County Clerk: Rebecca Jackson
Deputy Clerk: SHERRI

END OF DOCUMENT

✓

Attachment B

Executive Summary

| | | | | | |
|---|-----------------------------|--|---------------------|---------------------|--------------------|
| Louisville Neighborhood Centers Alliance of Louisville | | | | | |
| UNCAL | | | | | |
| Treasurers Report - May 15, 2006 | | | | | |
| | | | Receipts | Disbursed | Balance |
| 05/25/05 | Cash in Bank Balance | | | | \$ 3,414.23 |
| 07/08/05 | ck# 1129 | Moreno Express-postage & processing postcards for UNCA Regional Conf. | | 162.23 | |
| 07/15/05 | ck# 1130 | Inner Soul Graphix-postcards for UNCA Regional Conference | | 28.00 | |
| 08/04/05 | deposit | Neighborhood House-Dues | 610.00 | | |
| 08/12/05 | ck# 1131 | Inner Soul Graphix-1,600 premium paper for UNCA Regional Conference | | 65.60 | |
| 08/22/05 | deposit | Americana Community Center-Dues | 376.66 | | |
| 08/29/05 | ck# 1132 | Moreno Express-processing meeting flyer for UNCA Regional Conference | | 257.76 | |
| 08/31/05 | deposit | UNCA conference receipts | 250.00 | | |
| 09/08/05 | deposit | UNCA conference receipts | 550.00 | | |
| 09/09/05 | deposit | UNCA conference receipts | 920.00 | | |
| 09/16/05 | deposit | UNCA conference receipts | 150.00 | | |
| 09/23/05 | ck# 1133 | Petty Cash-for UNCA conference | | 200.00 | |
| 09/23/05 | ck# 1134 | Lela Watson-UNCA conference supplies | | 200.00 | |
| 09/23/05 | deposit | UNCA conference receipts | 175.00 | | |
| 09/26/05 | deposit | UNCA conference receipts | 1,516.00 | | |
| 09/28/05 | ck# 1135 | Innersoul Graphix-graphics & paper for UNCA conference | | 143.00 | |
| 09/28/05 | ck# 1136 | LCCC-reimb. Avery-supplies for UNCA conference | | 89.98 | |
| 09/28/05 | ck# 1137 | Cabbage Patch-reimb.cookies for UNCA conference | | 56.25 | |
| 10/03/05 | deposit | UNCA conference receipts (AE/MC/VISA receipts) | 5,045.00 | | |
| 10/03/05 | deposit | Wesley House Community Services-Dues | 610.00 | | |
| 10/20/05 | ck# 1138 | Cabbage Patch-reimb for Merchant Service charges for AE/MC/VISA receipts | | 153.69 | |
| 11/02/05 | deposit | Return of petty cash from LCCC | 10.00 | | |
| 11/02/05 | deposit | UNCA conference receipts | 850.00 | | |
| 11/02/05 | ck# 1140 | LCCC-reimburse for Hospitality expenses at UNCA conference | | 423.59 | |
| 12/02/05 | deposit | UNCA Regional Conference Receipts | 3,500.00 | | |
| 12/08/05 | deposit | Neighborhood House-Dues | 610.00 | | |
| 12/27/05 | ck# 1141 | Galt House-UNCA Reg. Conference hotel bill | | 5,708.26 | |
| 02/14/06 | adj. | Adjust Bank Balance | | 0.44 | |
| 02/14/06 | ck# 1142 | UNCA-2006 Dues | | 8,389.00 | |
| 02/23/06 | deposit | UNCA Conference Registration-(Metro Government) | 100.00 | | |
| 03/29/06 | ck# 1143 | Ky State Treasurer-annual report | | 4.00 | |
| 04/06/06 | deposit | Cabbage Patch-Dues received | 535.00 | | |
| 04/06/06 | ck# 1144 | Harold Hawkins-990 tax return preparation | | 100.00 | |
| 04/11/06 | deposit | LCCC-Dues received | 535.00 | | |
| 04/13/06 | debit memo | Bank charge for deposit books | | 49.72 | |
| 04/26/06 | deposit | Neighborhood House-Dues received | 535.00 | | |
| 04/26/06 | deposit | Wesley House Community Services-Dues received | 535.00 | - | |
| | | | \$ 17,412.66 | \$ 16,031.52 | |
| 05/15/06 | Cash in Bank Balance | | | | \$ 4,795.37 |

Attachment C
Proof of IRS 501(c)(3)

INTERNAL REVENUE SERVICE
DISTRICT DIRECTOR
P. O. BOX 2008
CINCINNATI, OH 45201

DEPARTMENT OF THE TREASURY

Date: JAN 21 1994

URBAN NEIGHBORHOOD CENTERS
ALLIANCE OF LOUISVILLE, INC.
C/O T. MORGAN WARD, JR., ESQ.
STITES & HARBISON
1800 CAPITAL HOLDING CTR.
LOUISVILLE, KY 40202

Employer Identification Number:
61-1231874

Case Number:
313245023

Contact Person:
BRENDA COLE

Contact Telephone Number:
(513) 684-3578

Accounting Period Ending:
June 30

Foundation Status Classification:
509(a)(1)

Advance Ruling Period Begins:
December 4, 1992

Advance Ruling Period Ends:
June 30, 1997

Addendum Applies:
No

Dear Applicant:

Based on information you supplied, and assuming your operations will be as stated in your application for recognition of exemption, we have determined you are exempt from federal income tax under section 501(a) of the Internal Revenue Code as an organization described in section 501(c)(3).

Because you are a newly created organization, we are not now making a final determination of your foundation status under section 509(a) of the Code. However, we have determined that you can reasonably expect to be a publicly supported organization described in sections 509(a)(1) and 170(b)(1)(A)(vi).

Accordingly, during an advance ruling period you will be treated as a publicly supported organization, and not as a private foundation. This advance ruling period begins and ends on the dates shown above.

Within 90 days after the end of your advance ruling period, you must send us the information needed to determine whether you have met the requirements of the applicable support test during the advance ruling period. If you establish that you have been a publicly supported organization, we will classify you as a section 509(a)(1) or 509(a)(2) organization as long as you continue to meet the requirements of the applicable support test. If you do not meet the public support requirements during the advance ruling period, we will classify you as a private foundation for future periods. Also, if we classify you as a private foundation, we will treat you as a private foundation from your beginning date for purposes of section 507(d) and 4940.

Grantors and contributors may rely on our determination that you are not a private foundation until 90 days after the end of your advance ruling period. If you send us the required information within the 90 days, grantors and contributors may continue to rely on the advance determination until we make a final determination of your foundation status.

Letter 1045 (00/CG)

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URBAN NEIGHBORHOOD CENTERS

If we publish a notice in the Internal Revenue Bulletin stating that we will no longer treat you as a publicly supported organization, grantors and contributors may not rely on this determination after the date we publish the notice. In addition, if you lose your status as a publicly supported organization, and a grantor or contributor was responsible for, or was aware of, the act or failure to act, that resulted in your loss of such status, that person may not rely on this determination from the date of the act or failure to act. Also, if a grantor or contributor learned that we had given notice that you would be removed from classification as a publicly supported organization, then that person may not rely on this determination as of the date he or she acquired such knowledge.

If you change your sources of support, your purposes, character, or method of operation, please let us know so we can consider the effect of the change on your exempt status and foundation status. If you amend your organizational document or bylaws, please send us a copy of the amended document or bylaws. Also, let us know all changes in your name or address.

As of January 1, 1984, you are liable for social securities taxes under the Federal Insurance Contributions Act on amounts of \$100 or more you pay to each of your employees during a calendar year. You are not liable for the tax imposed under the Federal Unemployment Tax Act (FUTA).

Organizations that are not private foundations are not subject to the private foundation excise taxes under Chapter 42 of the Internal Revenue Code. However, you are not automatically exempt from other federal excise taxes. If you have any questions about excise, employment, or other federal taxes, please let us know.

Donors may deduct contributions to you as provided in section 170 of the Internal Revenue Code. Bequests, legacies, devises, transfers, or gifts to you or for your use are deductible for Federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

Donors may deduct contributions to you only to the extent that their contributions are gifts, with no consideration received. Ticket purchases and similar payments in conjunction with fundraising events may not necessarily qualify as deductible contributions, depending on the circumstances. Revenue Ruling 67-246, published in Cumulative Bulletin 1967-2, on page 104, gives guidelines regarding when taxpayers may deduct payments for admission to, or other participation in, fundraising activities for charity.

You are not required to file Form 990, Return of Organization Exempt From Income Tax, if your gross receipts each year are normally \$25,000 or less. If you receive a Form 990 package in the mail, simply attach the label provided, check the box in the heading to indicate that your annual gross receipts are normally \$25,000 or less, and sign the return.

If you are required to file a return you must file it by the 15th day of

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URBAN NEIGHBORHOOD CENTERS

the fifth month after the end of your annual accounting period. We charge a penalty of \$10 a day when a return is filed late, unless there is reasonable cause for the delay. However, the maximum penalty we charge cannot exceed \$5,000 or 5 percent of your gross receipts for the year, whichever is less. We may also charge this penalty if a return is not complete. So, please be sure your return is complete before you file it.

You are not required to file federal income tax returns unless you are subject to the tax on unrelated business income under section 511 of the Code. If you are subject to this tax, you must file an income tax return on Form 990-T, Exempt Organization Business Income Tax Return. In this letter we are not determining whether any of your present or proposed activities are unrelated trade or business as defined in section 513 of the Code.

You need an employer identification number even if you have no employees. If an employer identification number was not entered on your application, we will assign a number to you and advise you of it. Please use that number on all returns you file and in all correspondence with the Internal Revenue Service.

If you distribute funds to individuals, you should keep case histories showing the recipients' names, addresses, purposes of awards, manner of selection, and relationship (if any) to members, officers, trustees or donors of funds to you, so that you can substantiate upon request by the Internal Revenue Service any and all distributions you made to individuals. (Revenue Ruling 56-304, C.B. 1956-2, page 306.)

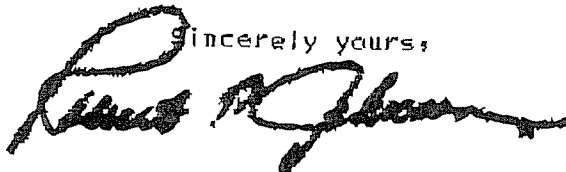
If we said in the heading of this letter that an addendum applies, the addendum enclosed is an integral part of this letter.

Because this letter could help us resolve any questions about your exempt status and foundation status, you should keep it in your permanent records.

We have sent a copy of this letter to your representative as indicated in your power of attorney.

If you have any questions, please contact the person whose name and telephone number are shown in the heading of this letter.

Sincerely yours,



Robert T. Johnson
District Director

Enclosure(s):
Form 872-C

Letter 1045 (DO/CG)

Attachment E
Board Member List

UNCAL
2006 BOARD MEMBERS

J. Tracy Holladay, President, Treasurer
The Cabbage Patch Settlement House, Inc.
1413 South 6th Street
Louisville, KY 40208

Sam Watkins, Vice President
Louisville Central Community Center
1407 West Jefferson Street
Louisville, KY 40203

Pam Rice, Secretary
Neighborhood House
201 N 25th St
Louisville, KY 40212

Renee Campbell-Mapp
Wesley House Community Services
803 E. Washington Street
Louisville, KY 40206

Attachment (Question 7)
Funding Use

March 15, 2006

Reverend J. Tracy Holladay
President
Urban Neighborhood Centers Alliance of Louisville (UNCAL)
1407 W. Jefferson Street, Suite 200
Louisville, Kentucky 40203

Dear Tracy,

It was a pleasure to meet with you and learn more about Urban Neighborhood Centers Alliance of Louisville (UNCAL) and to share with you how Strategic Visions, LLC might help your organization. Per our meeting on March 14, 2006, we have developed the following proposal for the various services you would like us to perform on behalf of UNCAL:

- **Organizational Review:** 8 hours (\$600.00) - this allows for two 2-hour meetings with both principals of Strategic Visions to meet with the Executive Directors from UNCAL's member organizations, and ample time for Strategic Visions to review materials and make recommendations. During the meetings, we will discuss funding needs, brainstorm funding opportunities and obtain information and documentation from you in preparation for the grant research and writing activities that follow. We will need the following documentation to proceed with the process:
 - IRS 501 (c) (3) Tax Determination Letter
 - By-laws
 - Mission statement
 - Description of program
 - History of Organization
 - List of board members and affiliations
 - Brief bios for UNCAL staff /Board members
 - Annual budget
 - Audited financial statements
 - Funding sources and amounts
 - Copies of any previous grant applications and cover letters
 - Copies of letters requesting funding
 - Annual reports
 - Newsletters
 - PR/marketing materials
 - Special event information

- **Grant Research:** 25-30 hours* (\$1,875.00 - \$2,250.00) to research national and regional funding opportunities and develop a list that will include information for each foundation/corporation/organization including:

1. Mission statement and areas of focus;
2. Deadline(s);
3. Timeframe for notification (either of reject or award letter);
4. Average and/or range of grants awarded to organizations similar to UNCAL;
5. Suggested amount for funding request; and
6. Estimate of time to write proposal.

We will complete the above research and forward the completed list to you within two weeks of receipt of the signed Letter of Agreement to Perform Consulting Services (see attached).

If you have pre-determined grants that you would like written, we will research the requirements to complete the grant application and provide you with an estimate of time. This research is also billed at \$75.00 per hour.

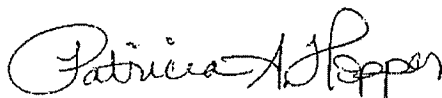
* You may choose to increase or decrease the number of hours of research.

- **Grant Writing:** the estimate of time for this activity will be based on the grants UNCAL approves from the list generated in the Grant Research activity.

We have attached a Letter of Agreement to Perform Consulting Services for the Grant Research activity described above for 25-30 hours, and will begin work on this activity immediately upon receipt of the signed Letter of Agreement (you may fax the signed agreement to us at 502-243-0951). All services, including in-person meetings and phone consultations, are billed at \$75.00 per hour.

We look forward to hearing from you, and beginning our work to assist UNCAL in its fund raising efforts. If you need to talk with either of us, please call Pat at 243-1062 or Judy at 243-1252.

Sincerely,



Pat Hopper
Principal



Judy Miller
Principal

Letter of Agreement to Perform Consulting Services

Strategic Visions, LLC (principals Judy Miller and Pat Hopper) will perform the following consulting services on behalf of Urban Neighborhood Centers Alliance of Louisville (UNCAL):

Grant Research: 25-30 hours (\$1,875.00 - \$2,250.00) to research national, regional and local opportunities and develop list of foundations/corporations/organizations with the following information for each opportunity:

1. Mission statement and areas of focus;
2. Deadline(s);
3. Timeframe for notification (either of reject or award letter);
4. Average and/or range of grants awarded to organizations similar to UNCAL;
5. Suggested amount for funding request; and
6. Estimate of time to write proposal.

We will complete the above research and forward the completed list to you within two (2) weeks of receiving the signed Letter of Agreement. All in-person meetings and telephone consultations are billed at \$75.00 per hour. Payment is due in full within ten (10) days from receipt of list resulting from Grant Research activities.

Signed by:

Judy Miller, March 15, 2006

Pat Hopper, March 15, 2006

Date:

J. Tracy Holladay, Vice President, Urban Neighborhood Centers Alliance of Louisville

Organization Number 0308183
Name URBAN NEIGHBORHOOD CENTERS
ALLIANCE OF LOUISVILLE, INC.
Profit or Non-Profit N - Non-profit
Company Type KCO - Kentucky Corporation
Status A - Active
Standing G - Good
State KY
File Date 12/4/1992
Organization Date 12/4/1992
Last Annual Report 4/21/2006
Principal Office % TRACY HOLLADAY
CABBAGE PATCH SETTLEMENT
1413 SOUTH SIXTH STREET
LOUISVILLE, KY 40208
Registered Agent T. MORGAN WARD, JR.
400 W. MARKET ST.
1800 CAPITAL HOLDING CTR.
LOUISVILLE, KY 402023352

Current Officers

President Renee Mapp
Vice President J Tracy Holladay
Secretary Pam Rice
Director Renee Mapp
Director J. Tracy Holladay
Director Pam Rice

Incorporators and Initial Directors

Director JOHN BARROW
Incorporator T. MORGAN WARD, JR.
Director J. TRACY HOLLADAY
Director SAM WATKINS, JR.

This organization has no assumed names

Images Available Online

Documents filed with the Office of the Secretary of State on September 15, 2004 or thereafter are available as scanned images or PDF documents. Documents filed prior to September 15, 2004 will become available as the images are created.

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|-----------|--------|-------------|------------|----------------------|
| 4/21/2006 | 1 page | <u>tiff</u> | <u>PDF</u> | <u>Annual Report</u> |
| 4/6/2005 | 1 page | <u>tiff</u> | <u>PDF</u> | <u>Annual Report</u> |